

| | | |
|---|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant Page 1 of 6 | ATTY. DOCKET NO. 0208.00060.01R | SERIAL NO. 10/633,876 |
| | APPLICANT HALE, <i>ET AL</i> | |
| | FILING DATE AUGUST 4, 2003 | GROUP 3743 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----|--------------------|----------|-------------------|-----|-------------|--------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLS | SUB- CLS | FILE DATE |
| | AA | 1,239,634 | 9/1/1917 | Stuart, F.J. | | | |
| | AB | 1,535,486 | 4/1/1925 | Lundy | | | |
| | AC | 1,803,334 | 5/1/1931 | Lehmann | | | |
| | AD | 1,864,980 | 6/1/1932 | Curran | | | |
| | AE | 2,084,299 | 6/1/1937 | Borden, Arthur G. | | | |
| | AF | 2,086,140 | 7/1/1937 | Ernst, Silten | | | |
| | AG | 2,243,669 | 5/1/1941 | Clyne | | | |
| | AH | 2,309,846 | 2/1/1943 | Marius Holm Einar | | | |
| | AI | 2,469,656 | 5/1/1949 | Lienert | | | |
| | AJ | 2,714,649 | 8/1/1955 | Critzer | | | |
| | AK | 2,741,812 | 4/1/1956 | Andre | | | |
| | AL | 2,761,055 | 8/1/1956 | Ike | | | |
| | AM | 2,887,106 | 5/1/1959 | Robinson | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----|--------------------|------------|---------|-----|------------|------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLS | SUB CLS | TRANS ? |
| | AN | EP 0 808 635 | 7/2/2003 | Europe | | | |
| | AO | HU 200105B | 10/4/1988 | Hungary | | | |
| | AP | HU 219392B | 6/3/1993 | Hungary | | | |
| | AQ | WO 00/28979 | 5/25/2000 | WIPO | | | |
| | AR | WO 02/098389 | 12/12/2002 | WIPO | | | |
| | AS | WO 03/37412 | 5/8/2003 | WIPO | | | |
| | AT | WO 97/40819 | 11/6/1997 | WIPO | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|---------------------|---|
| | AU | (00006.01R) Office Action mailed 01/26/2007 with respect to U.S. Appl. No. 10/057,198 |
| | AV | (00006.01R) Office Action mailed 07/03/2006 with respect to U.S. Appl. No. 10/057,198 |
| EXAMINER | /Mina Haghighatian/ | |
| | DATE CONSIDERED | 06/30/2009 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant. | | |

S:\Client\Folders\0208 (Alexza)\60.01R\IDS #2.doc

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

| | | |
|--|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant Page 2 of 6 | ATTY. DOCKET NO. 0208.00060.01R | SERIAL NO. 10/633,876 |
| | APPLICANT HALE, ET AL | |
| | FILING DATE AUGUST 4, 2003 | GROUP 3743 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|--|-----|--------------------|------------|----------------------|-----|-------------|--------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLS | SUB- CLS | FILE DATE |
| | BA | 2,898,649 | 8/1/1959 | Murray | | | |
| | BB | 3,043,977 | 7/1/1962 | Morowitz | | | |
| | BC | 3,080,624 | 3/1/1963 | Webber III, R.L. | | | |
| | BD | 3,200,819 | 8/1/1965 | Gilbert | | | |
| | BE | 3,749,547 | 7/1/1973 | Gregory et al. | | | |
| | BF | 3,763,347 | 10/1/1973 | Whitaker et al. | | | |
| | BG | 3,773,995 | 11/20/1973 | Pachter et al. | | | |
| | BH | 3,847,650 | 11/1/1974 | Gregory et al. | | | |
| | BI | 3,930,796 | 1/1/1976 | Haensel | | | |
| | BJ | 3,949,743 | 4/13/1976 | Shanbrom | | | |
| | BK | 4,020,379 | 4/1/1977 | Manning | | | |
| | BL | 4,184,099 | 1/1/1980 | Lindauer et al. | | | |
| | BM | 4,276,243 | 6/30/1981 | Partus | | | |
| | BN | 4,346,059 | 8/1/1982 | Spector | | | |
| | BO | 4,484,576 | 11/27/1984 | Albarda | | | |
| | BP | 4,556,539 | 12/1/1985 | Spector | | | |
| | BQ | 4,627,963 | 12/1/1986 | Olson, Donald M. | | | |
| | BR | 4,647,428 | 3/1/1987 | Gyulay | | | |
| | BS | 4,647,433 | 3/1/1987 | Spector | | | |
| | BT | 4,722,334 | 2/2/1988 | Blackmer et al. | | | |
| | BU | 4,892,109 | 1/9/1990 | Strubel | | | |
| | BV | 4,911,157 | 3/27/1990 | Miller | | | |
| | BW | 4,924,883 | 5/15/1990 | Perfetti et al. | | | |
| | BX | 4,935,624 | 6/19/1990 | Henion et al. | | | |
| | BY | 4,968,885 | 11/6/1990 | Willoughby | | | |
| | BZ | 4,984,158 | 1/1/1991 | Hillsman | | | |
| | BAA | 5,038,769 | 8/13/1991 | Krauser | | | |
| | BBB | 5,093,894 | 3/3/1992 | Deevi et al. | | | |
| | BCC | 5,095,921 | 3/17/1992 | Loose et al. | | | |
| | BDD | 5,109,180 | 4/1/1992 | Boultinghouse et al. | | | |
| | BEE | 5,135,009 | 8/4/1992 | Muller et al. | | | |
| | BFF | 5,144,962 | 9/8/1992 | Counts et al. | | | |
| | BGG | 5,146,915 | 9/15/1992 | Montgomery | | | |
| | BHH | 5,149,538 | 9/22/1992 | Granger et al. | | | |
| | BII | 5,160,664 | 11/3/1992 | Liu | | | |
| | BJJ | 5,179,966 | 1/19/1993 | Losee et al. | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant. | | | | | | | |

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

| | | |
|--|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant Page 3 of 6 | ATTY. DOCKET NO. 0208.00060.01R | SERIAL NO. 10/633,876 |
| | APPLICANT HALE, ET AL | |
| | FILING DATE AUGUST 4, 2003 | GROUP 3743 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|---|-----|---------------------|------------|--------------------|----------------------------|-------------|--------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLS | SUB- CLS | FILE DATE |
| | CA | 5,226,411 | 7/13/1993 | Levine | | | |
| | CB | 5,255,674 | 10/1/1993 | Oftedal et al. | | | |
| | CC | 5,261,424 | 11/1/1993 | Sprin, et al. | | | |
| | CD | 5,269,327 | 12/14/1993 | Counts et al. | | | |
| | CE | 5,285,798 | 2/15/1994 | Banerjee et al. | | | |
| | CF | 5,322,075 | 6/21/1994 | Deevi et al. | | | |
| | CG | 5,357,984 | 10/25/1994 | Farrier et al. | | | |
| | CH | 5,363,842 | 11/1/1994 | Mishelevich et al. | | | |
| | CI | 5,372,148 | 12/1/1994 | McCafferty et al. | | | |
| | CJ | 5,400,969 | 3/28/1995 | Keene | | | |
| | CK | 5,402,517 | 3/28/1995 | Gillett et al. | | | |
| | CL | 5,408,574 | 4/18/1995 | Deevi et al. | | | |
| | CM | 5,479,948 | 1/2/1996 | Counts et al. | | | |
| | CN | 5,505,214 | 4/9/1996 | Collins et al. | | | |
| | CO | 5,537,507 | 7/16/1996 | Mariner et al. | | | |
| | CP | 5,538,020 | 7/23/1996 | Farrier et al. | | | |
| | CQ | 5,564,442 | 10/15/1996 | MacDonald et al. | | | |
| | CR | 5,565,148 | 10/15/1996 | Pendergrass | | | |
| | CS | 5,577,156 | 11/19/1996 | Costello | | | |
| | CT | 5,591,409 | 1/7/1997 | Watkins | | | |
| | CU | 5,593,792 | 1/14/1997 | Farrier et al. | | | |
| | CV | 5,613,504 | 3/25/1997 | Collins et al. | | | |
| | CW | 5,613,505 | 3/25/1997 | Campbell et al. | | | |
| | CX | 5,769,621 | 6/23/1998 | Early et al. | | | |
| | CY | 5,809,997 | 9/22/1998 | Wolf | | | |
| | CZ | 5,829,436 | 11/3/1998 | Rubsamen et al. | | | |
| | CAA | 5,865,185 | 2/2/1999 | Collins et al. | | | |
| | CBB | 5,874,481 | 2/23/1999 | Weers et al. | | | |
| | CCC | 5,904,900 | 5/18/1999 | Bleuse et al. | | | |
| | CDD | 5,934,289 | 8/1/1999 | Watkins et al. | | | |
| | CEE | 6,004,516 | 12/21/1999 | Rasouli et al. | | | |
| | CFF | 6,053,176 | 4/1/2000 | Adams et al. | | | |
| | CGG | 6,085,026 | 7/4/2000 | Hammons et al. | | | |
| | CHH | 6,090,212 | 7/18/2000 | Mahawili | | | |
| EXAMINER | | /Mina Haghighatian/ | | | DATE CONSIDERED 06/30/2009 | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

S:\Client\Folders\0208 (Alexza)\60.01R\IDS #2.doc

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

[illegible]

| | | |
|--|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant Page 5 of 6 | ATTY. DOCKET NO. 0208.00060.01R | SERIAL NO. 10/633,876 |
| | APPLICANT HALE, ET AL | |
| | FILING DATE AUGUST 4, 2003 | GROUP 3743 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----|--------------------|-----------|----------------|-----|-------------|--------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLS | SUB- CLS | FILE DATE |
| | EA | US 2003/132219 | 7/17/2003 | Cox et al. | | | |
| | EB | US 2003/156829 | 8/21/2003 | Cox et al. | | | |
| | EC | US 2003/33055 | 2/13/2003 | McRae et al. | | | |
| | ED | US 2003/49025 | 3/13/2003 | Neumann et al. | | | |
| | EE | US 2004/35409 | 2/26/2004 | Harwig et al. | | | |
| | EF | US 2004/55504 | 3/25/2004 | Lee et al. | | | |
| | EG | US 2004/81624 | 4/29/2004 | Nguyen et al. | | | |
| | EH | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----|--------------------|------------|---------|-----|------------|------------|
| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLS | SUB CLS | TRANS ? |
| | EI | WO 01/043801 | 6/21/2001 | WIPO | | | |
| | EJ | WO 02/094234 | 11/28/2002 | WIPO | | | |
| | EK | WO 02/51466 | 7/4/2002 | WIPO | | | |
| | EL | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|---|----|---|
| | EM | (00006.01R) Office Action mailed 09/20/2005 with respect to U.S. Appl. No. 10/057,198 |
| | EN | (00014.01R) Office Action mailed 01/12/2005 with respect to U.S. Appl. No. 10/057,197 |
| | EO | (00014.01R) Office Action mailed 06/03/2004 with respect to U.S. Appl. No. 10/057,197 |
| | EP | (00014.01R) Office Action mailed 06/05/2007 with respect to U.S. Appl. No. 10/057,197 |
| | EQ | (00014.01R) Office Action mailed 09/21/2006 with respect to U.S. Appl. No. 10/057,197 |
| | ER | (00017.01CIP) Office Action mailed 02/27/2004 with respect to U.S. Appl. No. 10/146,080 |
| | ES | (00017.01CIP) Office Action mailed 03/20/2007 with respect to U.S. Appl. No. 10/146,080 (00017.01CIP) |
| | ET | (00017.01CIP) Office Action mailed 06/05/2006 with respect to U.S. Appl. No. 10/146,080 |
| EXAMINER /Mina Haghighatian/ | | DATE CONSIDERED 06/30/2009 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

| | | |
|--|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE List of Information Cited by Applicant Page 6 of 6 | ATTY. DOCKET NO. 0208.00060.01R | SERIAL NO. 10/633,876 |
| | APPLICANT HALE, <i>ET AL</i> | |
| | FILING DATE AUGUST 4, 2003 | GROUP 3743 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|---|----------------------------|
| FA | (00006.01R) Office Action mailed 12/04/2003 with respect to U.S. Appl. No. 10/057,198 | |
| FB | (00014.01R) Office Action mailed 12/15/2003 with respect to U.S. Appl. No. 10/057,197 | |
| FC | (00017.01CIP) Office Action mailed 08/25/2005 with respect to U.S. Appl. No. 10/146,080 | |
| FD | (00017.01CIP) Office Action mailed 12/28/2007 with respect to U.S. Appl. No. 10/146,080 | |
| FE | (00018.01CIP) Office Action mailed 02/12/2007 with respect to U.S. Appl. No. 10/146,086 | |
| FF | (00018.01CIP) Office Action mailed 10/30/2007 with respect to U.S. Appl. No. 10/146,086 | |
| FG | (00018.01CIP) Office Action mailed 12/13/2005 with respect to U.S. Appl. No. 10/146,086 | |
| FH | (00019.01CIP) Office Action mailed 02/16/2007 with respect to U.S. Appl. No. 10/146,088 | |
| FI | (00019.01CIP) Office Action mailed 09/28/2007 with respect to U.S. Appl. No. 10/146,088 | |
| FJ | (00019.01CIP) Office Action mailed 11/21/2007 with respect to U.S. Appl. No. 10/146,088 | |
| FK | (00034.01R) Office Action mailed 08/13/2003 with respect to U.S. Appl. No. 10/153,313 | |
| FL | (00067.01R) Office Action mailed 03/08/2005 with respect to U.S. Appl. No. 10/718,982 | |
| FM | Wood, R.W. et al. (1996). "Methylecgonidine Coats the Crack Particle." Pharmacology Biochemistry & Behavior. 53(1):57-66. | |
| FN | | |
| FO | | |
| FP | | |
| FQ | | |
| FR | | |
| FS | | |
| FT | | |
| FU | | |
| FV | | |
| FW | | |
| FX | | |
| FY | | |
| FZ | | |
| EXAMINER | /Mina Haghighatian/ | DATE CONSIDERED 06/30/2009 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant. | | |

S:\ClientFolders\0208 (Alexza)\60.01R\IDS #2.doc

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/